



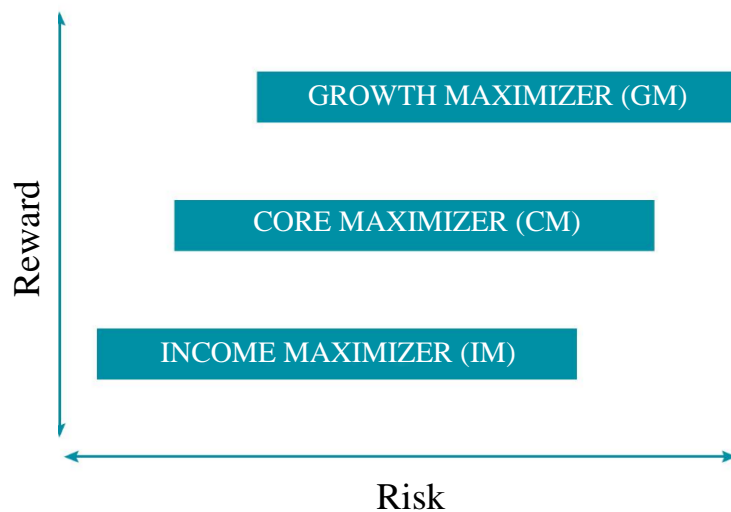
INVESTMENT MANAGEMENT

What to Expect

A hallmark of our firm is our strong, in-house investment capabilities and our ability to design and implement an overall investment strategy tailored to each client's goals and risk tolerance. Little House Capital's investment philosophy is built on decades of money management experience and a disciplined long-term approach.

STRATEGIES

Our Strategies utilize top-down screening and a bottom-up, fundamental approach, and our disciplined process is designed for long-term consistency



SELECTION

Our disciplined selection process strives to deliver strong risk-adjusted returns and minimize costs across strategies

Asset Allocation Strategies	Equity Products
<i>Institutional/Corporate</i>	<i>Dividend Income+</i>
<i>Global</i>	<i>Absolute Opportunity+</i>
Income Maximizer Core Maximizer Growth Maximizer	<i>Core+</i>
<i>US Focused</i>	<i>Capital Preservation</i>
Income Maximizer Core Maximizer Growth Maximizer	<i>Short Term Yield</i> <i>Short Term Yield+</i>
<i>Smart Active/Passive</i>	

PROCESS

We employ bottom-up fundamental research and advanced investment screening techniques to maximize risk controls in constructing portfolios. Our processes are grounded in strict investment protocols and risk management overlays that seek to promote consistency across implementation, reporting and performance.

- **Analytics and Screening** - We use quantitative screens as a first-pass differentiator of investments to be considered for further analysis, which allows us to efficiently consider a broad range of cross-disciplinary investments while focusing our time and energy researching those that we deem most likely to add value.
- **Fundamental Focus** - We perform in-depth analysis and intrinsic valuation of all individual investments, and we incorporate holistic correlation assessments and detailed risks reviews of each selected investment.
- **Industry-Leading Tools**-We developed dynamic portfolio management capabilities and an integrated trading platform that allow our team to be proactive through challenging and volatile market environments. These advanced trading tools enable us to be nimble and timely in the execution of our tactical investment decisions.

LITTLE HOUSE CAPITAL LLC